



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Katsumi Sameshima

Examiner: Unassigned

Serial No.: 09 451,979

Group Art Unit: 1753

Filed: November 30, 1999

Docket: 362-39

For: FERROELECTRIC MEMORY AND METHOD  
FOR MANUFACTURING SAME

Dated: February 4, 2000

Assistant Commissioner for Patents

Washington, D.C. 20231

ATTN: BOX MISSING PART

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED**

Sir:

In response to the Notice To File Missing Parts Of Application - Filing Date Granted dated December 1, 1999, enclosed herewith are the following:

1. Copy of Notice To File Missing Parts Of Application - Filing Date Granted.
2. Check in the amount of \$890.00 covering the surcharge (\$130.00) for filing a late Declaration and the application filing fee (\$760.00).
3. A Combined Declaration And Power Of Attorney executed by the inventor.
4. Preliminary Amendment (with transmittal) deleting multiply dependent claims.
5. A certified copy of the Japanese Priority Document No. 10-3341326 filed December 1, 1998.
6. Claim for Priority, on a separate sheet accompanying the priority document.

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to:  
Assistant Commissioner for Patents, Washington, D.C.

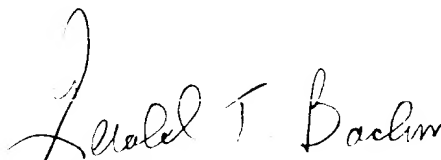
20231 on February 4, 2000

Dated: 2/4/00

An Assignment document is being concurrently filed with this response in a separate correspondence to the Assignment Division, along with the required Recordation Form Cover Sheet and fee of \$40.00.

If any additional fees are due or an overpayment has been made, please charge our Deposit Account No. 08-2461 or credit our Deposit Account for such sum. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,

A handwritten signature in cursive script, reading "Gerald T. Bodner", written in dark ink. The signature is positioned above a horizontal line.

Gerald T. Bodner  
Attorney for Applicants  
Registration No. 30.449

Hoffmann & Baron, LLP  
6900 Jericho Turnpike  
Syosset, NY 11791  
(516) 822-3550  
GTB:slt

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#3  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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077451,979

11/30/99

SANE SHIMA

K

362-39

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GERALD T. BOJNER ESQ.  
HOFFMANN AND BARON LLP  
6900 JERICHO TURNPIKE  
SYOSSET NY 11753

NOT ASSIGNED

1753

DATE MAILED:

01/04/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 1150-

☒ 1. The statutory basic filing fee is:

☒ missing.

☐ insufficient.

Applicant must submit \$ 760- to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ 260- for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

A properly signed and certified English translation of the application is the \$130.00 set forth in 37 CFR 1.174, unless

Direct the reply and any questions about this notice to: Attention: Box Missing Parts

**A copy of this notice MUST be returned with the reply.**

*D. Buchanan*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

1/2000 AS/MALE 00000007 09411989  
760.00 DP  
130.00 DP  
:101  
:105